### Introduction to Knowledge Translation

Sharon E. Straus MD MSc FRCPC
Professor, Department of Medicine
Director, Division of Geriatric Medicine
St. Michael's Hospital
University of Toronto



## Competing Interests

- Associate Editor CMAJ, Impl Science, ACP Journal Club
- Guest Editor/Editorial Board JCE
- Author of books on EBM, KT, Mentorship
  - Royalties directed to trainee fund



## Objectives

- To enhance knowledge of a framework for knowledge translation
- To enhance knowledge of challenges to knowledge translation



'Evidence-based medicine should be complemented by evidencebased implementation'

• Grol, BMJ 1997



## What is knowledge translation?

- Knowledge translation is a dynamic and iterative process that includes synthesis, dissemination, exchange and ethically sound application of knowledge to improve the health of Canadians, provide more effective health services and products and strengthen the health care system
  - o CIHR definition www.cihrirsc.gc.ca/e/29418.html



# Confusion about what it's called

- Applied dissemination
- ▶ Research utilisation
- Implementation
- Evidence uptake
- Effective dissemination
- Diffusion
- Information dissemination

- Knowledge adoption
- Knowledge synthesis, transfer and exchange
- Knowledge linkage and exchange
- Research into action/practice
- Translating research into practice...
  - o McKibbon et al. Impl Sci 2010, 5:16



### KT: Dissemination and Implementation

### **Knowledge Translation**





### Dissemination

### Implementation



### **Dissemination Practice**

Purposive distribution of information and intervention materials to a specific audience. The intent is to spread information. (NIH)

### **Implementation Practice**

The use of strategies to adopt and integrate evidence-based interventions and change practice within specific settings. (NIH)



Science

### **Dissemination Science**

The scientific study of processes and variables that determine and/or influence the spread/sharing of knowledge to various stakeholders. (NIH)

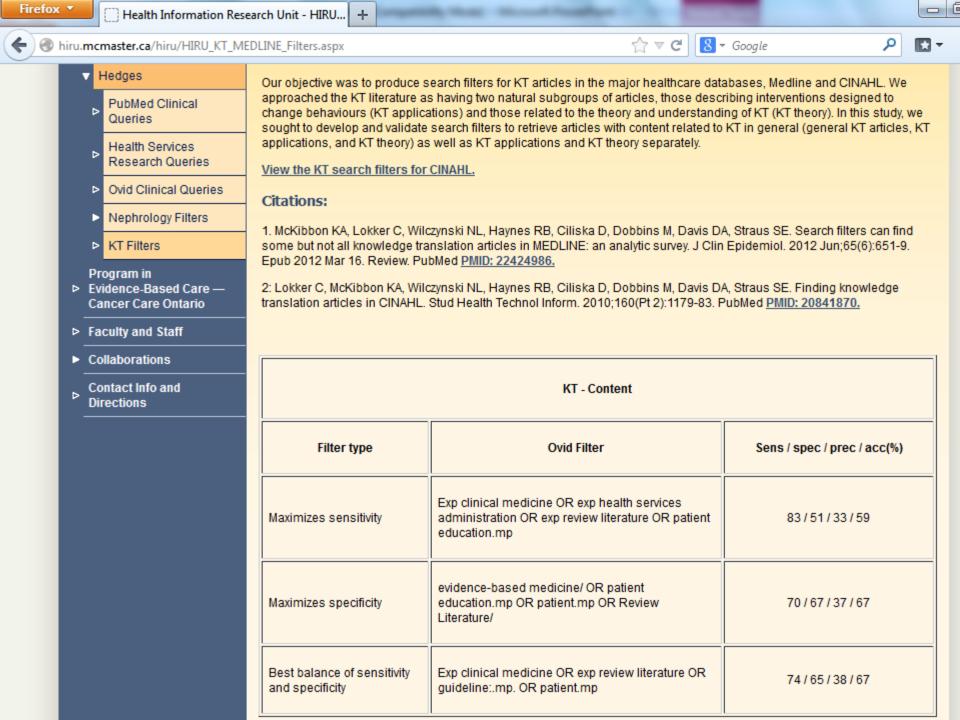
### **Implementation Science**

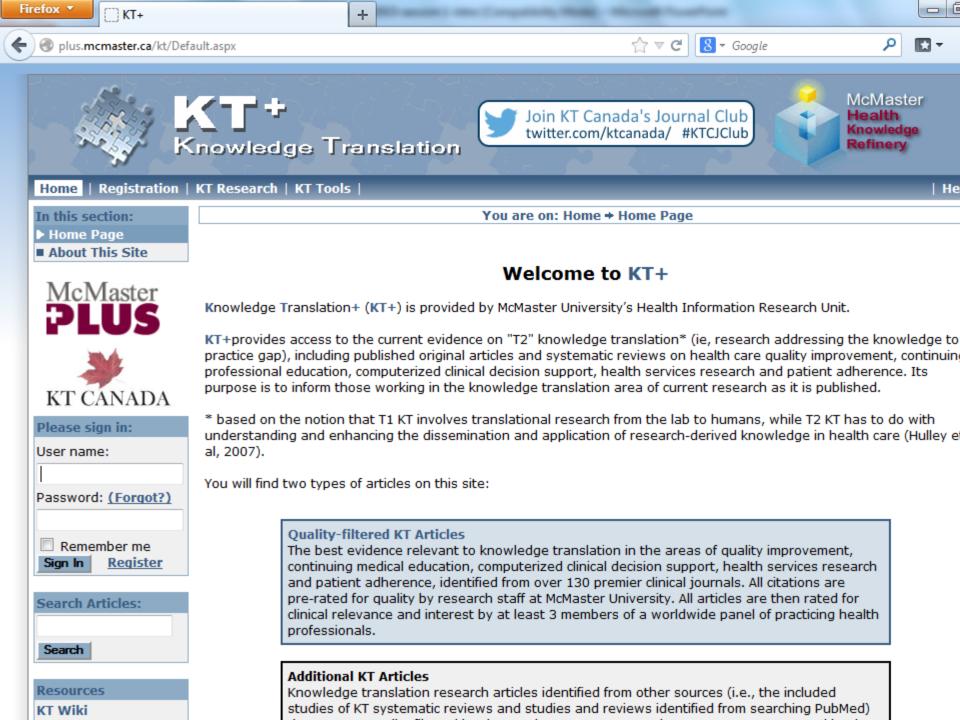
The scientific study of the methods to promote the uptake of research findings in clinical, organizational, or policy contexts. (Implementation Science journal)

Dissemination and Implementation Science. (n.d.). *National Institutes of Health*. Retrieved September 21, 2015, from <a href="https://www.nlm.nih.gov/hsrinfo/implementation\_science.html">https://www.nlm.nih.gov/hsrinfo/implementation\_science.html</a>; Implementation Science. Retrieved September 21, 2015, from

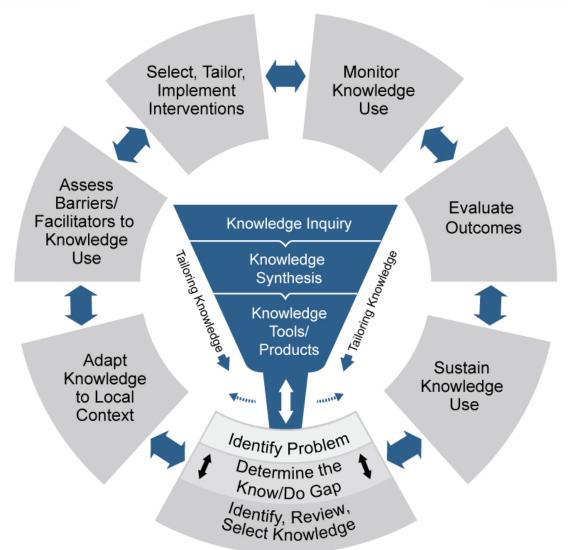
http://www.springer.com/public+health/health+promotion+%26+disease+prevention/journal/13012







### The Knowledge-to-Action (KTA) Model



Source: Graham ID et al. *ICHEP* 2006;26:13-24.



# Challenges to Knowledge Translation



# 1. Lack of knowledge isn't the most significant barrier

- You see a 74 year old woman (Mrs. M) in clinic with a history of
  - Osteoporosis and history of vertebral fracture
  - Type 2 diabetes (on oral agents)
  - o Hypertension
  - Chronic kidney disease (secondary to DM)
- How much time is required to implement recommendations from relevant chronic disease practice guidelines?





# Applying relevant practice guidelines

Patient Sub-Group	Time Required/pt (minutes)	Patients	Total time (hours)
Any patient aged 55 and over	61	160 (100%)	162.67
Male diabetics	8.3	23 (14%)	3.18
Diabetics with neuropathy	6.9	4 (3%)	0.46
Diabetics with blood pressure greater than 130/80	5.1	12 (8%)	1.02
Diabetics with left ventricular dysfunction	5.1	3 (2%)	0.26
Diabetics with an estimated glomerular filtration rate less than 60	1.1	12 (8%)	0.22
Type 1 diabetics	24	1 (1%)	0.40
y P		( )	
Type 2 diabetics	25	44 (28%)	18.33
Diabetics on only a single oral anti-			
hyperglycemic	10	19 (12%)	3.17
Diabetics on 2 or more anti-hyperglycemics	5	13 (8%)	1.08



# Applying relevant practice guidelines

	Time Required/pt	Patients	
Patient Sub Group	(minutes)	(n=160)	<b>Total Time</b>
Osteoporotic patients	14	25 (16%)	5.83
Patients who have had a bone mineral density test	1.3	78 (49%)	1.69
Patients with a vertebral fracture	7.9	0 (0%)	0.00
Hypertensive patients with a urine			
albumin:creatinine ratio>30	6.5	2 (1%)	0.22
Patients with chronic kidney disease of any stage	18	22 (14%)	6.60
Patients with chronic kidney disease stage 3-5	0.89	14 (9%)	0.21



### Mrs. M

- How much time is required annually to manage these conditions (assuming no complications arise)?
  - o 129.2 minutes/year
  - o Mrs. M is only seen for 36 minutes/year
    - Kerr J et al. CGS 2013



### What about organisational readiness?

- MOVE ON
  - Implemented and evaluated an early mobilisation strategy for older adults admitted to acute care hospitals in Ontario
  - o How do we know if 14 hospitals are ready to implement this?
    - Implement Sci. 2014 Oct 30;9:160. doi: 10.1186/s13012-014-0160-6
    - Implement Sci. 2013 Jul 3;8:76. doi: 10.1186/1748-5908-8-76.



# Development of decision support tool

- Identified key measures for assessing ORC from review by Gagnon et al
- Categorised individual items of included measures according to key readiness constructs
- Modified Delphi with stakeholder panel to assess feasibility and relevance of the measures
- Developed and tested decision support tool to guide selection of ORC measure
  - o Implement Sci. 2014 May 10;9:56. doi: 10.1186/1748-5908-9-56
  - o PLoS One. 2014 Dec 4;9(12):e114338





Ready, Set, Change! is a decision support tool designed to guide users in the selection of an appropriate readiness for change assessment measure for their setting. The tool has been developed for use by frontline implementers and decision-makers in healthcare settings including but not limited to acute care, long-term care, public health, mental health, and healthcare policy. Ready, Set, Change! decision support tool is based on a framework for organizational readiness for change comprised of 4 key constructs:

### Individual Psychological

Attitudes, beliefs, and perceptions held by individual staff members regarding the change. It may also refer to the extent to which staff members agree with the value of the change

### Organizational Psychological

Collective commitment and collective efficacy, or the extent to which members of an organization are seen to work together to achieve change implementation

#### Individual Structural

Staff members' knowledge, skills, and abilities to perform activities and roles related to the change. It may also refer to the willingness of individual staff to undergo training to improve their knowledge, skills, and abilities required for change implementation

### Organizational Structural

Human and material resources, communication channels, and formal policies required to support change implementation

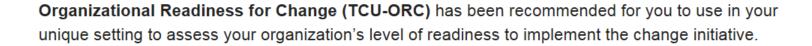
These constructs are important to prioritize and assess before implementing an intervention.











### Why this measure was recommended

This measure was recommended to you because your top priorities are related to Individual Structural aspects of readiness for change (refer to the <u>definitions</u> below).

### Facts about this measure

- This measure contains a total of 118 items, of which 17 items (14%) are designed to assess Individual Structural priorities.
- A panel of your peers rated this measure as feasible to use, (i.e., can be implemented in a timely manner without causing undue burden to existing resources) and relevant to health care settings.
- A promoter score (i.e., likelihood to recommend to others) of 6 out of 10 was awarded to this measure by your peers.
- · For details on how to access this measure click below.

### MOVE ON

- Enrolled >20000 patients across 17 hospitals
- Median age 80.1 years
- Increased patient mobility
  - The proportion of patients who were mobilized (defined as out of bed at least once a day) increased by 10.6% (p=0.002) across the sites
- Decreased median length of stay
  - o 6.1 days (p=0.0147)
- No difference in falls
  - o Risk difference 1.2%, p=0.79



# 2. Clinicians should not be the only target for KT

- To examine the influence of KT/QI interventions in patients with diabetes mellitus on the following:
  - o glycemic control
  - o vascular risk factor management
  - o microvascular complication monitoring
  - o smoking cessation
  - o harms
- Tricco et al. Lancet 2012; 379:2252-61



### Results: Glycemic - HbA1c metaanalysis

	Quality Improvement Strategy	# RCTs	MD	95%	<u> CI</u>	F	avours Co	ntrol F	-avours Inte	ervention
*	Promotion of Self-management	60	0.57	0.31	0.83					<u> </u>
	Team Changes	48	0.57	0.42	0.71				-	-
	Case Management	57	0.50	0.36	0.65				-	
*	Patient Education	52	0.48	0.34	0.61				-	
	Facilitated Relay	32	0.46	0.33	0.60				-	
	Electronic Patient Register	27	0.42	0.24	0.61				-	
*	Patient Reminders	21	0.39	0.12	0.65				-	
	Audit and Feedback	8	0.26	0.08	0.44			-	<b>—</b>	
	Clinician Education	15	0.19	0.03	0.35			_	•	
	Clinician Reminders	18	0.16	0.02	0.31			_	•	
	Financial Incentives	1	0.10	-0.24	0.44				<del></del>	
	Continuous Quality Improvements	2	-0.23	-0.41	-0.05			•		
	All Interventions	120	0.37	0.28	0.45					
						-1.00	-0.50	0.00	0.50	1.00

Post-intervention reduction in HbA1c%

★ PLUS health systems/provider intervention



# 3. Beware the "ISLAGIATT\*" principle

- Systematic review of guideline implementation strategies
  - Few studies used theoretical basis to inform development of intervention
  - Few studies use evidence to inform the development of the interventions
    - Where is the evidence to inform the implementation?
      - o Health Technology Assessment 2004;8(6):iii-iv, 1-72
      - \*Martin Eccles



# Beware the ISLAGIATT principle

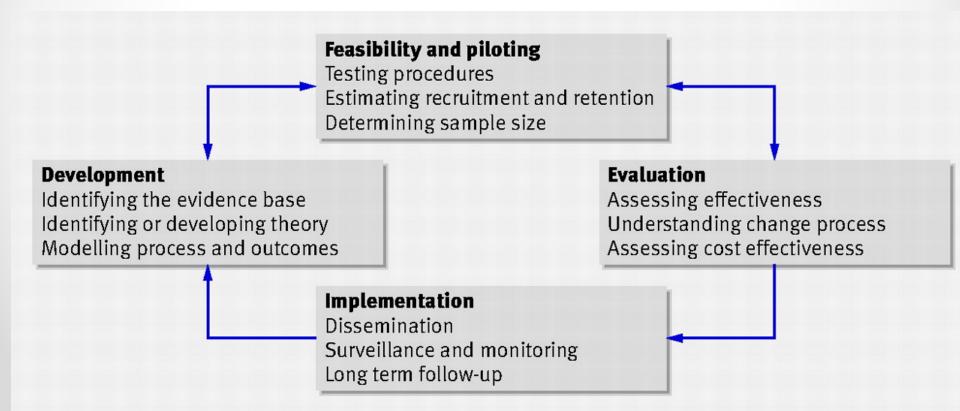
- Systematic review of 140 trials of audit and feedback
  - 17 head to head trials
  - Less than half use elements that theory would suggest might optimise the intervention
  - Led by Noah Ivers
    - o Cochrane Database of Systematic Reviews 2012, Issue 3. Art. No.: CD000259. DOI: 10.1002/14651858.CD000259.pub3.
- Systematic review of 99 studies of quality/safety teams
  - o 2 trials
  - Few studies included any element of description of the planning of the intervention
  - No study provided information on mechanism of action or fidelity of the intervention
    - o Impl Sci 2011;6:97.



### MRC Framework

BMJ 2008;337:979-83

J Clin Epidemiol. 2012 Nov;65(11):1163-70



### Fracture Prevention

- FORCE RCT identified role for selfmanagement
- BestPrompt
  - Osteoporosis risk management tool for patients and providers

o Age and Ageing 2009;25:107-12.



## Developing the Intervention

- Adapt the knowledge
  - o Use focus groups, interviews, surveys, workflow analysis as necessary to understand needs of the end users and context
    - Implement Sci. 2010 Dec 10;5:96. doi: 10.1186/1748-5908-5-96
- Assess determinants of uptake
  - o Conduct workflow analysis, focus groups, interviews
    - BMC Med Inform Decis Mak. 2010 Jul 22;10:40. doi: 10.1186/1472-6947-10-40
- Tailor the tool
  - Heuristic testing, individual usability testing
    - Implement Sci 2012; Dec



### **Osteoporosis Questionnaire**





## Evaluation of BestPrompt

- Population: Patients aged 60 years and older
- Outcomes: Initiation of BMD testing and prescription of Op medications
- **Setting**: 3 family practice settings of varying size
- Study Design: Interrupted Time Series
  - o Baseline Assessment (12 months)
  - Implementation phase (1 month)
  - o Intervention (12 months)



## Results

- 351 patients accessed the tool (20% of eligible patients)
- Mean age 64 years (SD 10.2)
- Mean 3.4 minutes (SD 0.5 min) for patients to complete the assessment
- Increased BMD testing by 50%
- Increased use of appropriate OP medications by 50%
  - Implement Sci 2014;9:109.



### Search Rx for Change Database Browse Professional **4** Financial 4 Consumer $\Psi$ Regulatory **4** Organisational 4 Professional Interventions that target professionals directly, aiming to improve practice. Audit and feedback (45) Mew evidence added as of April 2013 Any summary of clinical performance of health care over a specified period of time. The summary may also have included recommendations for clinical action. The information may have been obtained from medical records, computerised databases, or observations from patients. Distribution of educational materials (60) ► New evidence added as of April 2013 Distribution of published or printed recommendations for clinical care, including clinical practice guidelines, audio-visual materials and electronic publications. The materials may have been delivered personally or through

Educational meetings (82)

mass mailings.

► New evidence added as of April 2013

Healthcare providers who have participated in conferences, lectures, workshops or traineeships.

. Educational outreach visits (34)

Use of a trained person who met with providers in their practice settings to give information with the intent of changing the provider's practice. The information given may have included feedback on the performance of the provider(s). Can also be referred to as "academic detailing".

☆ 🎤 🛛 :

#### Definition

Use of providers nominated by their colleagues as

#### Effectiveness

Two high quality reviews (Flodgren, Thomas) were identified. In one high quality (Flodgren) the use of local opinion leaders alone or combined with other interventions was generally effective for improving appropriate care outcomes. One high quality review (Thomas) had an insufficient number of studies to draw any conclusions about the effectiveness of the intervention on appropriate care outcomes.

#### Summary of Overall Findings from Reviews

6 reviews that evaluated the effectiveness of local opinion leaders were identified. Of these, 2/2 high quality/key reviews with a sufficient number of studies to draw conclusions found this intervention to be generally effective.

#### Summary of Findings Related to Prescribing

1/1 high quality reviews with a sufficient number of studies to draw conclusions found this intervention to be generally effective for improving prescribing outcomes.

Reviews listed as "Summary Pending" will be analyzed, summarized and reported at a later date.

The findings will then be incorporated in the overall evidence summaries of the interventions they address.

#### Reviews Addressing This Intervention

2:CD000349.

Quality Assessment Tool: AMSTAR Score (of 11 points)

Flodgren G, Parmelli E, Doumit G, Gattellari M, O'Brien 9 (High)
MA. Grimshaw J, Eccles MP. Local opinion leaders:
effects on professional practice and health care
outcomes. Cochrane Database of Systematic Reviews
2011 8:C0000125.

Thomas L, Cullum N, McColl E, Rousseau N, Soutter J, Steen N. Guidelines in professions allied to medicine. Cochrane Database of Systematic Reviews 2000

van der Wees RJ, Jamtvedt G. Rebbeck T. de Bie RA. Dekker J, Hendriks TJ. Multifaceted strategies may increase implementation of physiotherapy clinical guidelines: a systematic review. Australian Journal of Physiotherapy 2008 54 (4):33-41,

, (.........

Williams N. Woodward H. Majeed A. Saxena S. Primary 6 (Medium)
care strategies to improve childhood immunisation

#### Systematic Review

Brown + Professional Local spinion leading + Review

### Guidelines in professions allied to medicine.

Tromas L, Callum N, McColl E, Rousseau N, Souther J, Stein N, Suidelines in grafescoro allect to medicine. Cochrane Catalone of Systematic Persena 2002 2 (2000)341. <u>PubMed</u>

Source of Linding INVS-ASSI programme on evaluating methods to promote implementation of ISSI (IT. No personal conflict of interest.

Manacher affliction Thomas L. University of Central Lancachins UK.

Q: How effective are guidelines at standardizing health care practice and promoting the delivery of evidence based health care?

#### BACKGROUND

Sidence about the use of guidelines and chical practice potocols was collected and summarced for this value. The EPCC technomy was used to collected in the guideline according to the swithout of dissemination when this collected in an available.

#### INCLUSION

Ishiha tada hinggang sela

SEARCH FOR EVIDENCE (Is to 1916.

MOURRESTREES: 11

STUDIES RELATED TO PRESCRIBING 4

STUDY DESIGN: FCT,CBJ, FTS

#### STUDY CHARACTERISTICS:

- Target Psychology Delicians, Numer, Physicians, Pharmacolis, Psychologists.
- Interved any of the actional meetings, proof open or leaders, Educational outmoder withs, Distribution of educational materials, Patient mediated, Reminders — prevent, Multifestated.
- Setting Any limb of organisation.

# 5. Consideration of sustainability of the KT intervention shouldn't be left until the end

- Systematic review of the diffusion of innovations in health services organizations noted that only two of 1000 sources screened mentioned the term sustainability
  - Greenhalgh T et al. A systematic literature review.
     Blackwell Publishing, BMJ Books, 2005



# Why is sustainability a concern?

- Many theories of planned action focus on shortterm perspectives
- Research/project funding usually 1 to 2 years
- ?Academic credit for staying engaged with a project
- Policy cycles are often different from organisational and research timelines



6. Capacity Building in KT

Stream 1	Stream 2	Stream 3
Seminar series	Seminar Series	Seminar Series
Summer Institute	Foundations of KT	Intro to EBHC
Pragmatic Trials	End of Grant KT	Intro to KT
Systematic Reviews		Foundations of KT
Qualitative Methods		
End of Grant KT		
Twitter Journal Club		
Mentorship		



# Acknowledgements

- Julia Moore
- Jayna Holroyd-Leduc
- Monika Kastner
- Barbara Liu
- Andrea Tricco

